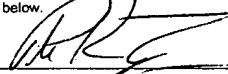


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Signed: 
Peter K. Trzyna (Reg. No. 32,601)

Date: 29 March 2000

PATENT

Paper No.

File No. NewMrktP98-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Anthony F. Herbst and Wayne F. Perg
Serial No. : 09/197,908
Filed : November 23, 1998
For : APR 03 2000
DIGITAL COMPUTER SYSTEM AND METHODS
FOR MANAGING AN AUCTION MARKET FOR
PREFERRED-RETURN SECURITIES
Group Art Unit : 2761
Examiner : Retta Yehdega

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

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AMENDMENT AND RESPONSE

SIR:

In response to the Office Action mailed 29 December 1999, in the above-referenced patent application, please enter the following amendment and reconsider the application in view of the amendment and the remarks set forth below.

I. AMENDMENT

A. In the Claims

Please amend the claims as follows:

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Plurality of input devices for receiving input data and converting the input data into input electrical data, and to an output device for converting output electrical data from the processor into output having a visual display device

1. A method for using a digital electrical machine to electrically process signals in generating output, the method including the steps of:
providing a first digital electrical computer apparatus including a digital computer having a processor, the processor electrically connected to a memory device for storing and retrieving machine-readable signals, to an input device for receiving input data and converting the input data into input electrical data, and to an output device for converting output electrical data from the processor into output having a visual display device.